## SAFETY-SENSITIVE FUNCTIONS DETERMINATION WORKSHEET

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| 1 | Do you receive **any** funding as a recipient, subrecipient, or contractor under:  
A) 49 U.S.C. 5307, 5309, or 5311; or  
B) 24 U.S.C. 103(e)(4) | Yes ____ No ____ | If yes, continue to #2  
If no, contact Caltrans |
| 2 | Regardless of job title or department, do you directly employ any personnel that perform any of the following functions for the transit system: |   |   |
|   | A Operate a transit vehicle? | Yes ____ No ____ |   |
|   | B Operate a transit vehicle, when required to be operated by a holder of a CDL? | Yes ____ No ____ |   |
|   | C Control dispatch or movement of a transit vehicle? | Yes ____ No ____ |   |
|   | D Provide maintenance for transit vehicles?  
- Preventative maintenance  
- Repairs  
- Overhaul  
- Rebuilding | Yes ____ No ____ |   |
|   | E Carry a firearm for security purposes? | Yes ____ No ____ |   |
| 3 | Do you contract out any services for the transit system? | Yes ____ No ____ | If yes, continue to #4  
If no, skip to #5 |
| 4 | Regardless of job title or department, do you directly employ any personnel, outside of the contracted services, that could be expected to perform any of the functions listed in #2 above for the transit system? | Yes ____ No ____ |   |
| 5 | **If you answered YES to any question in #2 or #4:**  
- You employ personnel that are considered safety sensitive and are subject to testing under 49 CFR Parts 655 and 40; and  
- You are required to establish an anti-drug use and alcohol misuse program consistent with 49 CFR Parts 655 and 40. |   |   |

**NOTES**

- Volunteers are considered safety-sensitive, and subject to testing, only if they are required to hold a CDL, or receive remuneration for service in excess of actual expenses.
- Supervisors are safety-sensitive if they may perform one of the above functions.
- The maintenance section does not apply to the following:
  
  A) An employer:  
  - Receiving funds under 49 U.S.C. 5307 or 5309 **AND**  
  - In an area less than 200,000 in population **AND**  
  - **Contracts** out such maintenance services
  
  B) An employer:  
  - Receiving funds under 49 U.S.C. 5311 **AND**  
  - **Contracts** out such maintenance services